



COMPLIANCE RISK

UNDERSTANDING THE RULES

Effective oversight of consumer laws and regulations can reduce the risk of financial loss, ensure quality service for customers, and preserve a sound reputation.

Directors must recognize the scope and implications of the legislation and consumer protection regulations, such as the Bank Secrecy Act and the Equal Credit Opportunity Act.

This one-day workshop focuses on compliance red flags, hot topics, and common OCC examination findings related to compliance risk.

Be ready to respond to the rapidly changing financial services industry and to fulfill your responsibilities with confidence.

Register to attend this one-day workshop for \$99.

\$99

UPCOMING WORKSHOPS

DALLAS, TX*
April 10, 2024

MILWAUKEE, WI*
May 8, 2024

CINCINNATI, OH*
July 9, 2024

KANSAS CITY, MO*
September 24, 2024

TAMPA, FL*
October 8, 2024

BOSTON, MA*
October 22, 2024

DON'T DELAY. REGISTER TODAY!

The workshop is limited to the first 35 directors and senior managers who register.



Register at occ.gov/occworkshops



Work with and learn from experienced OCC examiners who lead this workshop, which includes discussions of best practices, lectures, and hands-on exercises for directors and senior management of national community banks and federal savings associations.



Understand the critical elements of an effective compliance risk management program



Learn about common OCC examination findings related to compliance risk.



Identify compliance red flags and hot topics.



Review major compliance risks and critical regulations.

\$99 COST INCLUDES:

Workshop Materials
OCC's Director's Book
Lunch



Register at
occ.gov/occworkshops



After registration is confirmed, call the hotel or book online to reserve your room. Tell the hotel you are attending the OCC Community Bank Director and Senior Management Workshop.

If you encounter difficulties in making reservations, select Contact Us on the registration page for assistance.

* Consecutive Session with the Credit Risk and/or Operational Risk Workshops. Participants may attend one or more of these sessions.