

Additional OCC Guidance That Applies to FSAs

Previously Rescinded Document Type	Previously Rescinded OTS Document Number	OCC Document	Comments/OCC Document Link
Trust Handbook	Various Sections	Comptroller’s Handbook – Investment Management Services Comptroller’s Handbook – Custody Services	OCC 2012-2 rescinded 35 OTS-issued Trust Handbook sections and applied many of the <i>Comptroller’s Handbook</i> “Asset Management” booklets to FSAs. In addition to those listed in OCC Bulletin 2012-2, the “Investment Management Services” and “Custody Services” booklets also apply to FSAs. www.occ.gov/publications/publications-by-type/comptrollers-handbook/invmgt.pdf www.occ.gov/publications/publications-by-type/comptrollers-handbook/custodyservice.pdf
CEO Memo	138	OCC Bulletin 2001-16, “Granting Credit Secured by Personal Property”	www.occ.gov/news-issuances/bulletins/2001/bulletin-2001-16.html
Thrift Bulletin	23-3	OCC Bulletin 1995-52, “Retail Sales of Nondeposit Investment Products”	www.occ.gov/news-issuances/bulletins/1995/bulletin-1995-52.html