



RIGHT TO FINANCIAL PRIVACY ACT Checklist

	Yes	No		Yes	No
1. Has the financial institution established procedures for fulfilling requests by government authorities for customers' financial records in compliance with the act?	<input type="checkbox"/>	<input type="checkbox"/>	6. Does the financial institution maintain records of all disclosures of customers' records made to a government authority in connection with a government loan, guaranty or insurance program? (§ 1113(h)(6))	<input type="checkbox"/>	<input type="checkbox"/>
2. Has the financial institution received any requests covered by the act for customers' financial records? If "yes" is answered, complete the remaining questions.	<input type="checkbox"/>	<input type="checkbox"/>	a. Does the financial institution allow the customer to examine these records upon request?	<input type="checkbox"/>	<input type="checkbox"/>
3. Does the financial institution have internal controls in place which are adequate to ensure that all requests are handled in compliance with the act?	<input type="checkbox"/>	<input type="checkbox"/>	7. Does the financial institution keep adequate records of those instances in which a customer's financial records are disclosed to a government authority, upon authorization by the customer, including a copy of the request and the identity of the government authority? (§ 1104(c))	<input type="checkbox"/>	<input type="checkbox"/>
4. As required by § 1103(b), does the financial institution provide customers' financial records to government authorities only after receiving proper written certification required by the act?	<input type="checkbox"/>	<input type="checkbox"/>	a. Does the financial institution allow the customer to examine these records upon request (unless blocked by a court order)?	<input type="checkbox"/>	<input type="checkbox"/>
5. Does the financial institution refrain from requiring a customer's authorization for disclosure of financial records as a condition of doing business? (§ 1104(b))	<input type="checkbox"/>	<input type="checkbox"/>	Questions 3-7 answered with a "no" require an explanation on how the financial institution intends to comply with the requirements of the act.		