



COMPLIANCE RISK

Effective oversight of consumer laws and regulations can reduce the risk of financial loss, ensure quality service for customers, and preserve a sound reputation. Directors must recognize the scope and implications of the legislation and consumer protection regulations, such as the Bank Secrecy Act and the Equal Credit Opportunity Act. This 3-hour virtual workshop focuses on compliance red flags, hot topics, and common OCC examination findings related to compliance risk. Be ready to respond to the rapidly changing financial services industry and to fulfill your responsibilities with confidence by registering to attend this virtual workshop.

Work with and learn from experienced OCC examiners who lead this 3-hour virtual workshop, designed for directors of national community banks and federal savings associations.

TOPICS COVERED

- ⇒ Understand the critical elements of an effective compliance risk management program.
- ⇒ Review major compliance risks and critical regulations, such as the Bank Secrecy Act and the Equal Credit Opportunity Act.
- ⇒ Identify compliance red flags and hot topics.
- ⇒ Learn about common OCC examination findings related to compliance risk.

DON'T DELAY. REGISTER TODAY!

For complete information and to register, go to www.occ.gov/occworkshops