

RESCINDED

Office of Thrift Supervision

Regulatory Bulletin

Handbook: Application Processing
Subject: Corporate Existence

Section: 1000
RB 28

November 9, 1992

Outdated – See OCC 2020-11

Issuance of Certificates of Corporate Existence

Summary: This Bulletin is published to inform the public of the change in title and format of the “Certificate of Good Standing,” to the “Certificate of Corporate Existence,” and to provide guidance for requesting this document from the Office of Thrift Supervision.

For Further Information Contact: Office of Thrift Supervision, Corporate Activities Division, Washington, D.C.

Regulatory Bulletin 28

The Office of Thrift Supervision (“OTS”) has revised its “Certificate of Good Standing” for savings associations and has renamed it a “Certificate of Corporate Existence.” The revised certificate serves the same function as “good standing” certifications issued by the states for corporations chartered in their respective jurisdictions, and certifications of corporate existence issued by the Comptroller of the Currency for national banks. The revised version certifies the current corporate existence of a savings association and thus continues to provide the public with the necessary information required for the consummation of securities offerings and other corporate transactions.

Use of the new certificate will commence 30 days from the date of this bulletin.

Attached are sample copies of Certificates of Corporate Existence for federal and state savings associations.

Procedure

1. Law firms or institutions requiring a Certificate of Corporate Existence must submit a letter to the following address:

Office of Thrift Supervision
Corporate Secretary
Corporate Activities Division
1700 G Street, N.W.
Washington, D.C. 20552
2. The letter must state the name, city and state of the institution for which the certificate is being requested.
3. A \$50.00 fee is required for all documents bearing the agency gold seal. Checks and money orders are to be made payable to the Office of Thrift Supervision. If the request is not accompanied by the fee, it will not be processed.

4. Because of the volume of requests received, the OTS is unable to prepare certificates within short deadlines. Requesters must submit their request at least 5 working days before the certificate is required.
5. The Corporate Activities Division does not accept telephone requests for certificates, nor will the agency provide verbal certification of an institution’s existence. The certification process involves a search of files maintained by OTS, and particular attention must be given to each request.
6. All requests for return by express mail must include a pre-paid, addressed express mail envelope.

Attachments



—Jonathan L. Fiechter
Deputy Director
for



Office of Thrift Supervision
Department of the Treasury

1700 G Street, N.W., Washington, D.C. 20552 • (202) 906-6000

(DATE)

CERTIFICATE OF CORPORATE EXISTENCE

REFERENCE: Federal Savings and Loan
Association
(City, State)

I, [], Corporate Secretary, Office of Thrift Supervision, hereby certify, according to the records of the Office of Thrift Supervision, Department of the Treasury, Washington, D.C.:

1. Federal Savings and Loan Association, (City, State), was chartered under the laws of the United States to transact the business of a Federal savings and loan association;
2. The charter of Federal Savings and Loan Association (City, State), was declared effective on (Date), and is in full force and effect;
3. The Office of Thrift Supervision has not appointed a conservator or receiver for Federal Savings and Loan Association, (City, State); and
4. As of (Date), Federal Savings and Loan Association, (City, State), is operating as a SAIF-insured financial institution.

Corporate Secretary



Office of Thrift Supervision
Department of the Treasury

1700 G Street, N.W., Washington, D.C. 20552 • (202) 906-6000

(DATE)

CERTIFICATE OF CORPORATE EXISTENCE

REFERENCE: Federal Savings Bank
(City, State)

I, [], Corporate Secretary, Office of Thrift Supervision, hereby certify, according to the records of the Office of Thrift Supervision, Department of the Treasury, Washington, D.C.:

1. Federal Savings Bank, (City, State), was chartered under the laws of the United States to transact the business of a Federal savings bank;

2. The charter of Federal Savings Bank, (City, State), was declared effective on (Date), and is in full force and effect;

3. The Office of Thrift Supervision has not appointed a conservator or receiver for Federal Savings Bank, (City, State); and

4. As of (Date), Federal Savings Bank, (City, State), is operating as a SAIF-insured financial institution.

Corporate Secretary



Office of Thrift Supervision
Department of the Treasury

1700 G Street, N.W., Washington, D.C. 20552 • (202) 906-6000

(DATE)

CERTIFICATE OF CORPORATE EXISTENCE

REFERENCE: State Savings and Loan Association
(City, State)

I, [], Corporate Secretary, Office of Thrift Supervision, hereby certify, according to the records of the Office of Thrift Supervision, Department of the Treasury, Washington, D.C.:

1. State Savings and Loan Association, (City, State), was incorporated under the laws of the State of _____ to transact the business of a savings and loan association;

2. The Office of Thrift Supervision has not appointed a conservator or receiver for State Savings and Loan Association, (City, State); and

3. As of (Date) State Savings and Loan Association, (City, State), is operating as a SAIF-insured financial institution.

Corporate Secretary